

Docket No. 219722US2S

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tatsuo AKIYAMA, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: MASK TRADING SYSTEM AND METHOD

5-34-02
H2
JC971 U.S. PRO
10/078394
02/21/02



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

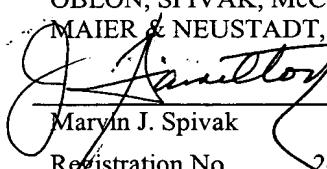
- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.


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LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent No.</u> | <u>Filing or Issue Date</u> | <u>Status or Patentee</u> |
|----------------------|-----------------------------|-----------------------------|---------------------------|
| PER CLIENT | 09/912,398 | 07/26/01 | UNKNOWN |
| PER CLIENT | 09/964,759 | 09/28/01 | UNKNOWN |
| 209253US2S | 09/867,465 | 05/31/01 | PENDING |

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STATEMENT OF RELEVANCY

Reference AO (2001-14021) on form PTO-1449:

This reference shows a similar delivery response system. (Figure 1 and lines 6-17 of page 1)

Reference AP (2002-296903) on form PTO-1449:

This reference shows a similar delivery date response system. (Figure 1 and lines 6-15 of page 1)

| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 219722US2S | | SERIAL NO. NEW APPLICATION | |
|---|-------------|--|-------|-------------------------------|-----------------|--|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT | | APPLICANT | | Tatsuo AKIYAMA, et al. | | | |
| | | FILING DATE HEREWITH | | GROUP | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
| | AB | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | | |
| | YES | NO | | | | | |
| AO | 2001-14021 | 01/19/01 | JAPAN | | | X | |
| AP | 2000-296903 | 10/24/00 | JAPAN | | | X | |
| AQ | | | | | | | |
| AR | | | | | | | |
| AS | | | | | | | |
| AT | | | | | | | |
| AU | | | | | | | |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner | | | | | Date Considered | | |

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1c971 U.S. S. 394
10/07/02
02/21/02